

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM SD/A
(Amendment No. 1)

SPECIALIZED DISCLOSURE REPORT

O2 Micro International Limited

(Exact name of registrant as specified in its charter)

Cayman Islands

(State or other Jurisdiction of Incorporation)

000-30910

(Commission File Number)

Not applicable

(IRS Employer Identification No.)

**Grand Pavilion Commercial Centre, West Bay Road
P.O. Box 32331 SMB, George Town
Grand Cayman, Cayman Islands**

(Address of Principal Executive Offices)

(Zip Code)

Carl Durham

(408) 987-5920 ext. 8060

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1, 2018, to December 31, 2018.

EXPLANATORY NOTE

This Amendment No. 1 on Form SD/A (the “Amendment”) amends the Form SD (the “Original Form SD”) filed by O2Micro, Inc. (the “Company”) on May 24, 2019. The sole purpose of this Amendment is to correct the period of report and Form SD content which was erroneously substituted by the filing agent. The corrected content for period ended December 31, 2018 is now reflected below in this Amendment. Except as set forth above, no changes have been made to the Original Form SD.

Section 1 – Conflict Minerals Disclosure

Item 1.01 Conflict Minerals Disclosure and Report.

Conflict Minerals Disclosure

This Specialized Disclosure Report on Form SD of O2 Micro International Limited (the “Company”) is filed pursuant to Rule 13p-1 promulgated under the Securities Exchange Act of 1934 (“Rule 13p-1”) for the reporting period of January 1, 2018, to December 31, 2018.

The Company’s business focuses on designing, developing and marketing high performance integrated circuits and solutions for manufacturers of products in the consumer electronics, computer, industrial, communications, and automotive markets. The Company designs products for applications such as LCD and LED monitors, LCD and LED televisions, notebook computers, tablet computers, low/zero emission vehicles, mobile phones, power tools, energy efficient technology relating to sophisticated batteries, LED lighting including general lighting, and portable electronics devices.

Rule 13p-1 requires disclosure of certain information when a company manufactures or contracts to have manufactured products for which the minerals specified in the Rule are necessary to the functionality or production of those products. The specified minerals are gold, columbite-tantalite (coltan), cassiterite and wolframite, including their derivatives, which are limited to tantalum, tin and tungsten (the “Conflict Minerals”). The “Covered Countries” for purposes of the Rule are the Democratic Republic of the Congo, the Republic of the Congo, the Central African Republic, South Sudan, Uganda, Rwanda, Burundi, Tanzania, Zambia and Angola.

The Company has determined that the following Conflict Minerals are necessary to the functionality or production of certain of the Company’s products: gold, tantalum, tin and tungsten.

The Company does not own or operate the integrated circuit fabrication facilities that manufacture the Company’s products. Rather, the Company engages the foundries that own and operate these fabrication facilities to manufacture the Company’s products, sometimes using components procured by the Company from suppliers. As such, the Company does not purchase any Conflicts Minerals directly from mines, smelters or refiners, and relies upon such foundries and such suppliers to procure the raw materials and components necessary for the production of the Company’s products. The Company must therefore rely on such foundries and suppliers to provide information regarding the origin of the Conflicts Minerals.

The Company has conducted in good faith a reasonable country of origin inquiry regarding each of these minerals. The inquiry was reasonably designed to determine whether any of the Conflict Minerals originated in the Covered Countries or are from recycled or scrap sources. The country of origin inquiry involved (1) identifying those of the Company’s products with respect to which Conflict Minerals are necessary for the functionality or production of such products; (2) identifying the foundries that manufacture such products and the suppliers (if any) from whom the Company procures components used in the manufacture of such products; (3) obtaining reports regarding the use of Conflict Minerals from such relevant foundries and suppliers using the Conflict Minerals Reporting Template developed by the Electronic Industry Citizenship Coalition – Global eSustainability Initiative (EICC/GeSI); (4) analyzing the reports received from such relevant foundries and suppliers to identify potential uses of Conflict Minerals that originate from the Covered Countries; and (5) engaging such foundries and suppliers with follow-up inquiries and data gathering, to the extent necessary.

Based upon the above described country of origin inquiry, the Company has no reason to believe that any of the Conflict Minerals that are necessary to the functionality or production of the Company’s products may have originated in the Covered Countries.

The information is publicly available at www.o2micro.com.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

O2 MICRO INTERNATIONAL LIMITED

Date: May 23, 2019

By: /s/ Sterling Du
Sterling Du, Chief Executive Officer

11/30/2018 Conflict Minerals Country of Origin Worksheet

QA

CFS List : <http://www.conflictreesmelter.org/cfshome.htm>

Items

maintained <\\hc-storage-01\HC-qc\QA - Team\RoHS\GP system survey\Conflict minerals survey>

in QA folders [survey\Conflict minerals survey](#) **Including**

:

1. EICC forms from all vendors on Approved Vendors List ("AVL")
2. Declaration forms from all vendors on AVL
3. Source or transaction documents used in reporting conflict minerals usage from vendors on AVL
4. E-mails, communications and other correspondence from all vendors on AVL made hereunder
5. Follow-up communications and emails from vendors who are unable to verify sources of minerals covered under the Conflict Minerals policy.
6. All definitions of Conflict Minerals, and other terms covered under this policy, are defined under the EICC, Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (aka, the "Dodd-Frank Act"), and O2Micro's supporting policies and procedures.

Steps taken by O2Micro:

1. QA shall maintain most current AVL prior to performing its performing its annual audit regarding the use of Conflict Minerals.
2. QA shall send EICC compliant forms and declarations, at a minimum, to all vendors on AVL
3. QA shall confirm the collection of all EICC compliant forms and declarations from each member on AVL prior to the deadlines provided by O2Micro, and follow with said vendors who have not yet complied with policy after deadline. O2Micro will use all reasonable efforts to get all members of the AVL to comply with its Conflict Minerals reporting requirements.
4. QA shall prepare the Conflict Minerals COO Worksheet and present to management for review and approval.
5. After final approval, QA shall submit copy of the final Conflict Minerals COO Worksheet for each fiscal year to the finance and legal departments during Q1 of following fiscal year.

Based on the results of O2Micro's annual Country of Origin (COO) Inquiry, O2Micro

Conclusion: reasonably believes that Conflict Minerals have not been used in materials and products used by, or manufactured for, O2Micro.

EICC Templates, Declarations and Source Documents for each vendor		Original Mineral Source Documents						Conclusion			
		Gold	Tin	Tungsten	Tantalum	Gold	Tin	Tungsten	Tantalum		
Listed on AVL	Assembly Supplier	EICC Template	Declaration	Gold	Tin	Tungsten	Tantalum	Gold	Tin	Tungsten	Tantalum
	ASE-KS	V	V	V	V	NA	NA	C	C	NA	NA
	ASE-SH	V	V	V	V	NA	NA	C	C	NA	NA
	GTK	V	V	V	V	NA	NA	C	C	NA	NA
	TFME	V	V	V	V	NA	NA	C	C	NA	NA
	SIG-TW	V	V	V	V	NA	NA	C	C	NA	NA
	TICP	V	V	V	V	NA	NA	C	C	NA	NA
	SMC	V	V	V	V	NA	NA	C	C	NA	NA
	UAT	V	V	NA	Refuse to Provide	NA	NA	NA	C	NA	NA
	UCD	V	V	V	V	NA	NA	C	C	NA	NA
	Unisem M	V	V	NA	NA	NA	NA	NA	NA	NA	NA
	Sitec	V	V	NA	NA	NA	NA	C	C	NA	NA
	HTXA	V	V	V	V	NA	NA	C	C	NA	NA
	Wafer Fab	EICC template	Declaration	Gold	Tin	Tungsten	Tantalum	Gold	Tin	Tungsten	Tantalum
	CSMC	V	V	NA	NA	Refuse to Provide	NA	NA	NA	C	NA
	X-fab	V	Refuse to Provide	NA	NA	Refuse to Provide	NA	NA	NA	C	NA
	VIS(Vanguard)	V	V	NA	NA	Refuse to Provide. Agree to audit	Refuse to Provide. Agree to audit	NA	NA	C	C
	PSMC(Original: Maxchip)	V	V	NA	NA	Refuse to Provide	NA	NA	NA	C	NA
	SMIC	V	V	NA	NA	Refuse to Provide	Refuse to Provide	C	C	C	C
	AMPI	V	On-going	NA	NA	NA	NA	NA	NA	NA	NA
XAHT	V	V	NA	NA	NA	NA	NA	C	NA	NA	
Excelliance	V	V	NA	NA	NA	NA	NA	NA	C	NA	
Tower Jazz	V	V	NA	NA	V	NA	NA	NA	C	NA	
TPSCo	V	V	NA	NA	NA	NA	NA	NA	C	C	
Not listed on AVL yet	LongLink	V	V	NA	NA	NA	NA	NA	NA	NA	NA
	COSMOS	V	V	NA	NA	NA	NA	C	C	NA	NA

Legend :

V= Verified Conflict Minerals free. See codes to right for more details.	Smelter on CFS List	C
	Reasonably reliable representations from vendors and its suppliers	R
	Cannot verify materials are free of Conflict Minerals Further due diligence required.	N

Remarks:

List date:

1. CFS Compliant Tungsten Smelters

Companies that have been determined to be compliant with the CFSP Supply Chain Transparency Smelter Audit Protocol for Tungsten by completing a CFSP compliance audit conducted by an independent third party audit.

Please note that CFSI policy requires that **no companies may appear on the CFSP Compliant Tungsten Smelters list** until a minimum of three companies meet the criteria for listing.

2. Conflict-Free Smelter Program (CFS)

Compliant Tin Smelter List

3. Conflict-Free Smelter Program

Compliant Tantalum Smelter List

4. Conflict-Free Smelter Program

Compliant Gold Refiners List

Final Rules : A registrant may satisfy the reasonable country of origin standard by obtaining **“reasonably reliable representations”** indicating the origin of the conflict materials (i.e., that they are not from the regions covered under the definition of Conflict Minerals), or that they are recycled or scrap materials. The current rule notes that such representations can be obtained from the facility directly or from the registrant’s immediate suppliers; however the registrant must have sufficient reason to believe such to be true (e.g., by considering the sources, the related facts and circumstances, and any relevant “warning signs”).

Form date: 30 Dec 2018

Lead	Synchr	IDNumber	Synchr	Name	Company	Site	Province	Region/Country	Location	Company Website	CM	Lead	Issue	Date	Valid	Lead	Branch	IC	LIB	MARC	
Gold		CE0002763	MSK S.p.A.	Pen. Lombardia	ITALY				http://en.8855.ru/eng/m/PLAC_Y_RUC_8853.pdf			Yes	6/29/2017	6/29/2020						Yes	
Impacted		CE000004	ALMIL LLC	Tsuyama City, Tsuyama	JAPAN				https://www.afid.com/en/en/visiting-business.html			Yes	9/13/2019	9/13/2021							Yes
Impacted		CE0000233	ACLM China East	Anqingmanzhu, Sin Pado	BRAZIL				http://www.conflictresolving.com/Conflict/ManualPolicy_ACLMChina.pdf			Yes	06/26/2018	06/26/2020							
Gold		CE000015	Company	Warwick, Rhode Island	UNITED STATES OF AMERICA				http://www.advelchem.com/index.php/about-us/certification			Yes	10/17/2017	10/17/2018							
Gold		CE000019	Co., Ltd.	Fuchu, Tokyo	JAPAN				http://www.aika-jp.com/page/about			Yes	04/16/2018	04/16/2021							
Gold		CE002160	DMCC	Dubai, Dubai	UNITED ARAB EMIRATES				http://www.aekhabd.com/en/Supernancent-responsible-mining			Yes	01/01/2018	01/01/2019							
Gold		CE000035	Shibahe Chemicals AG	Pharfenheim, Baden-Wuerttemberg	GERMANY				http://www.agm.de/unternehmen/berufswelt/hilfsstoffe/gold_supply_chain_policy_statement.pdf			Yes	08/16/2016	08/14/2019							Yes
Gold		CE000094	(AMMC)	Alanya, Turkent	UKRAINE				http://www.agm.de/unternehmen/berufswelt/hilfsstoffe/gold_supply_chain_policy_statement.pdf			Yes	01/02/2018	01/02/2019							Yes
Tin		CE000020	Alpha	Alabama, Pennsylvania	UNITED STATES OF AMERICA				http://alphasupply.com/Solutions/Compliance/ConflictMinerals			Yes	05/07/2018	05/07/2019							
Gold		CE000088	Mineracao	Novo Lima, Minas Gerais	BRAZIL				http://www.alpha-llc.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	07/13/2017	07/13/2018							Yes
Gold		CE000077	Agro-Biosciences S.A.	Meadia, Tocantins	SWITZERLAND				https://www.agricomm.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	06/26/2017	06/26/2020							Yes
Gold		CE000082	Auriferio Mineracao S.A.	Kapa, Bahia, Igarape	BRAZIL				http://www.auriferio.com.br/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	07/13/2017	07/13/2018							Yes
Gold		CE000004	Autism Refining Canada	Beaumont, Ontario	CANADA				http://www.auriferio.com.br/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	06/23/2017	06/23/2018							Yes
Gold		CE000020	Austral Refining USA Inc.	San Lake City, Utah	UNITED STATES OF AMERICA				http://www.auriferio.com.br/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	06/23/2017	06/23/2018							Yes
Gold		CE000090	Axalta Riken Co., Ltd.	Tamam, Fukuoka	JAPAN				http://www.axalta.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	01/14/2016	01/14/2019							Yes
Tantalum		CE000092	Axalta Riken Co., Ltd.	Tamam, Fukuoka	JAPAN				http://www.axalta.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	04/27/2018	04/27/2019							
Impacted		CE000210	Vermin Ltd.	Yokohama District, Hokkaido	VIETNAM				http://www.aximptan.com.vn/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	10/27/2017	10/27/2018							Yes
Gold		CE000250	Alltech and Hefeng	Jiangsu, Henan	GERMANY				http://www.alltech.com/en/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	01/23/2017	01/23/2018							Yes
Gold		CE000111	Amba AG	Hamburg, Hamburg	GERMANY				http://www.amba.com/en/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	01/02/2018	01/02/2019							Yes
			Brandenburg									Yes	01/02/2018	01/02/2019							Yes
Gold		CE000132	Anker Bank	Quezon City, Rizal	PHILIPPINES				http://www.ankerbank.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	11/02/2016	11/02/2017							Yes
Gold		CE000157	Ashted AB	Sätersholm, Västra Botten län	SWEDEN				https://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	04/11/2018	04/11/2019							Yes
Gold		CE000130	H&C	C. Helder, Gidder + Co.	GERMANY				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	03/18/2016	03/18/2017							Yes
Gold		CE000107	GAG	Fleurbaey, Baden-Wuerttemberg	GERMANY				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	03/18/2016	03/18/2017							Yes
Gold		CE000185	Glencom	Montreal, Quebec	CANADA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	05/30/2018	05/30/2019							Yes
Gold		CE000189	Conden + Mutius S.A.	Biel/Bienne, Bern	SWITZERLAND				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	04/17/2018	04/17/2019							Yes
			Chemical Group									Yes	04/17/2018	04/17/2019							Yes
Tantalum		CE000111	Ltd.	Changsha, Hunan	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	08/24/2018	08/24/2019							
Impacted		CE000213	Ltd.	Changzhou, Jiangsu	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	02/03/2015	02/03/2018							Yes
			Changzhou Yangtong									Yes	07/10/2018	07/10/2019							
Tin		CE000028	Co., Ltd.	Changzhou, Hunan	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	07/10/2018	07/10/2019							
Tin		CE000190	Changshu Tin	Changshu, Nei Mongol	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	01/31/2018	01/31/2019							Yes
Gold		CE0000233	China East	Anqingmanzhu, Sin Pado	ITALY				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	06/26/2018	06/26/2020							Yes
Tin		CE000103	China Tin Group Co., Ltd.	Lailao, Guangxi	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	04/17/2018	04/17/2019							Yes
Impacted		CE000258	China Tin Group Co., Ltd.	Lailao, Guangxi	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	05/18/2018	05/18/2021							
Impacted		CE000258	China Tin Group Co., Ltd.	Lailao, Guangxi	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	05/18/2018	05/18/2021							
Tin		CE000230	CV Aya Jaya	Pangkalpinang, Kepulauan Bangka Belitung	INDONESIA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	07/28/2016	07/28/2019							
Tin		CE000232	CV Dwi Sekawan	Pangkalpinang, Kepulauan Bangka Belitung	INDONESIA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	08/04/2018	08/04/2019							
Tin		CE000096	CV Gita Pesona	Pangkalpinang, Kepulauan Bangka Belitung	INDONESIA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	10/25/2016	10/25/2019							
Tin		CE000015	CV United Seelung	Pangkalpinang, Kepulauan Bangka Belitung	INDONESIA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	09/21/2018	09/21/2019							
Tin		CE000245	CV Vesna Inti Perkasa	Pangkalpinang, Kepulauan Bangka Belitung	INDONESIA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	10/12/2016	10/12/2019							
Tantalum		CE000250	Blick Metals, LLC	Gaithersburg, North Carolina	UNITED STATES OF AMERICA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	04/26/2018	04/26/2019							
Gold		CE000128	Darius Indus Co., Ltd.	Namhong, Incheon-si/gyanggi-do	KOREA, REPUBLIC OF				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	08/25/2016	08/25/2019							
Gold		CE000012	Deutsche Gold	Fleurbaey, Baden-Wuerttemberg	GERMANY				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	07/12/2017	07/12/2018							Yes
Gold		CE000010	Dowa	Kanazawa, Aika	JAPAN				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	10/27/2017	10/27/2018							Yes
Tin		CE000012	Dowa	Kanazawa, Aika	JAPAN				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	10/27/2017	10/27/2018							Yes
Gold		CE000019	Daewoo	Daegu, Gyeonggi-do	REPUBLIC OF KOREA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	07/15/2016	07/15/2019							
Gold		CE000425	Co., Ltd.	Hojo, Saitama, Japan	JAPAN				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	07/26/2018	07/26/2019							
Tin		CE000438	EM Vano	Omam, Omam	INTERNATIONAL				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	04/13/2016	04/13/2019							Yes
Gold		CE000261	Essence Gold DMCC	Dubai, Dubai	UNITED ARAB EMIRATES				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	03/06/2018	03/06/2019							
Impacted		CE000456	Fauchec Inc.	Ferrisburgh, Florida	UNITED STATES OF AMERICA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	02/02/2018	02/02/2019							
Tantalum		CE000018	Ltd.	FAX Heben-Materials	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	01/31/2018	01/31/2019							Yes
Tin		CE000468	Ferris Metals	Chmielów, Polka	POLAND				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	12/07/2017	12/07/2018							Yes
Tantalum		CE000210	Ltd.	Zhouzhou, Hunan	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	10/10/2018	10/10/2019							
Impacted		CE000499	Co., Ltd.	Yamshi, Lampung, Fujian	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	01/20/2015	01/20/2018							Yes
Impacted		CE000245	Tungsten Co., Ltd.	Guangdong, Jiangxi	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	09/19/2018	09/19/2019							
Impacted		CE000015	Ltd.	Guangdong, Jiangxi	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	02/17/2017	02/17/2018							
Impacted		CE000213	Guangdong Jiangsu	Guangdong, Jiangxi	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	02/07/2016	02/07/2017							
Impacted		CE000244	M&C Co., Ltd.	Guangdong, Jiangxi	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	06/09/2017	06/09/2020							
Gold		CE000249	Compustion	Warwick, Rhode Island	UNITED STATES OF AMERICA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	09/07/2017	09/07/2020							
Tin		CE000489	Plater	Gejira, Yunnan	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	10/30/2018	10/30/2019							
Tin		CE000209	Mineral	Gejira, Yunnan	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	10/26/2017	10/26/2018							
Tin		CE000092	Indus LLC	Gejira, Yunnan	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	02/06/2018	02/06/2019							Yes
Tin		CE000019	Yunnan Nonferrous Metal	Gejira, Yunnan	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	12/06/2017	12/06/2020							
Tin		CE00190	Indus Co., Ltd.	Gejira, Yunnan	CHINA				http://www.ashted.com/eng/OurServices/Documents/policies/certification-csm.pdf			Yes	01/26/2018	01/26/2019							

Tanzania/CE001891	Telix Metals Tin	Croydon, Pennsylvania	UNITED STATES OF AMERICA	http://tk.usmtels.com/conflict-free-policy/	06/22/2018 06/22/2019	
	CE001898	Thakapan The Refinery of Shandong	THAILAND	http://www.thaiaura.com/policy.html	12/06/2017 12/06/2018	Yes
Gold	CE001914	Gold Mining Co., Ltd.	CHINA	http://www.zjgold.com.cn/	03/31/2017 03/31/2018	Yes
Gold	CE001935	Kobanki Hunter Co., Ltd.	KOREA,	http://www.kobanki.com/eng/00/conflict-free-policy/	03/30/2017 03/30/2018	Yes
Gold	CE001955	Sancom Ullha Metalurgical Plant	REPUBLIC OF INDONESIA	http://b651001.kongpran.com/content/page.php?eq=26	05/18/2016 05/18/2019	
Tanzania/CE001997	ZSC	Joboy	KAZAKHSTAN	http://www.zha.kz/wp-content/uploads/1811.htm	07/19/2019 07/19/2019	
Gold	CE001977	Limontec Brasil Ltda.	BRASIL	http://www.amicr.com/brasil/limontec/conflictminespolicy.pdf	04/11/2019 04/11/2019	Yes
Gold	CE002114	Limontec Precious Metals Limontec S.A. Business Unit Precious Metals	THAILAND	http://www.amicr.com/thai/limontec/conflictminespolicy.pdf	06/20/2016 06/20/2019	Yes
Gold	CE001980	Uzbek Refinery	BELGIUM RUSSIAN FEDERATION	http://www.amicr.com/uzbek/uzbek/conflictminespolicy.pdf	05/02/2019 05/02/2019	Yes
Tanzania/CE002724	Uzbeck Refinery	Uzbekistan, Bryanskyskiy oblast'	UNITED STATES OF AMERICA	http://volcancompany.us/uzbekistan-and-social-responsibility/	07/20/2016 07/20/2019	
Gold	CE001993	Koefong, Inc.	THAILAND	http://www.amicr.com/thai/koefong/conflictminespolicy.pdf	09/14/2018 09/14/2019	
Gold	CE000360	Vakanki S.A. Vietnam Yangon Tinopol Industry Co.	SWITZERLAND	http://www.vakanki.com/thailand/media/vakanki/POJ_Ries/Vakanki_Responsibe_Gold_Policy_Statement_4.pdf	03/31/2017 03/31/2018	Yes Yes
Tanzania/CE002101	Lid	Halong City, Quang Ninh	VIETNAM	http://www.conflictfreeonline.org/media/docs/ConflictMineralPolicy_VietnamYangonTinopol.pdf	01/14/2016 01/14/2019	
Gold	CE002030	Da Bin (Da Bin) (Mian)	AUSTRALIA	http://www.perthmint.com.au/documents/Conflict_Mineral_and_Supply_Chain_Policy_July_2013_v8.pdf	10/30/2017 10/30/2018	Yes
Yin	CE002036	Mangrove Lda.	BRASIL	http://www.whitelabel.com.br/ingles/vetico/vetico_conflict_englis.pdf	03/20/2018 03/20/2021	
Gold	CE002779	WELFUND Technologie Welfund Technologie AG	GERMANY	http://www.welfund.com/content/uploads/2017/04/ORE-Dokumente/Politik%20Konfliktmineralien%202016_Rev0.pdf	05/18/2018 05/18/2019	
Tanzania/CE002043	Wolbeck Korea Co., Ltd.	Seocheon, Gyeonggi-do	AUSTRIA	http://www.wolbeck.com/wp-content/uploads/2017/04/ORE-Dokumente/Politik%20Konfliktmineralien%202016_Rev0.pdf	04/05/2018 04/05/2019	
Tanzania/CE002084	Homex AG Xinmin Tangtun (H.C.)	St. Martin i.S. Steiermark	KOREA,	http://www.wolbeck.com/wp-content/uploads/2017/04/ORE-Dokumente/Politik%20Konfliktmineralien%202016_Rev0.pdf	04/26/2016 04/26/2019	
Tanzania/CE002230	Ca., Ltd. Xinmin Tangtun Co., Ltd.	Xinmin, Fujian	CHINA	http://www.xsc.com/News_info.aspx?ID=99	04/27/2018 04/27/2019	
Tanzania/CE002082	Lid Xinmin Tangtun Co. & Mozambique/News	Huizhong, Fujian	CHINA	http://www.xsc.com/News_info.aspx?ID=99	04/21/2017 04/21/2020	
Tanzania/CE002030	M. Austral Co., Ltd. Xinhai Iron and Steel	Guochou, Jiangxi	CHINA	http://www.hongjinyuan.com/index.php?m=content&ac=showfile&id=11&id=426	07/30/2016 07/30/2019	
Tanzania/CE002095	Tangtun Co., Ltd. XinChang Hongfang Huanan Material Co.,	Shangqun, Guangdong	CHINA	http://www.xinhaitangtun.com/other/xinhaitangtun/xinhai-3118.html	04/10/2015 04/10/2018	Yes
Tanzania/CE002104	Lid Yakohama Metal Co., Yakohama Metal Co., Ltd.	Yakoh City, Guangdong	CHINA	http://www.yakoh.com/	10/23/2017 10/23/2018	Yes
Gold	CE002100	Yamakin Co., Ltd.	JAPAN	http://www.yamakin.com/gold-en/ethical-compliance-policy-supply-of-raw-materials.html	02/17/2017 02/17/2018	Yes
Gold	CE002129	Lid Yamakin Metal Co.,	JAPAN	http://www.yamakin.com/topics/conflict%20minerals%20management%20policy.pdf	10/12/2016 10/12/2019	
Tn	CE002135	Yunnan Chengde Non-Ferrous Metals Co., Ltd.	CHINA	http://www.yfnr.com/news_detailnews/467.html	12/13/2017 12/13/2018	Yes
Tn	CE002130	Yunnan Tin Company Yunnan Tin Company	CHINA	http://www.yt.com.cn/69/1003/4520.htm	07/13/2018 07/13/2019	
Gold	CE002224	Zhongnan Gold Smelter of Zhongnan Gold Corporation	CHINA	http://www.conflictfreeonline.org/media/docs/CFSP_Zhongnan_CM_Policy.pdf	07/13/2017 07/13/2018	Yes Yes

Metal	Smelter_IDStandard_Smelter_Name	Company_State_Province_Region	Country_Location
Cobalt	CID003226Freeport Kikkola	Kokkola, Central Ostrobothnia	FINLAND
Cobalt	CID003293 Jiangsu Xiongfeng Technology Co., Ltd.	Haimen, Jiangsu	CHINA
Cobalt	CID003338 SungEel HiTech Co.,Ltd.	Gunsan-si, Jeollabuk-do	KOREA, REPUBLIC OF
Gold	CID002863 Bangalore Refinery	Bangalore, Karnataka	INDIA
Gold	CID000264 Chugai Mining	Chiyoda, Tokyo	JAPAN
Gold	CID003195 DS PRETECH Co., Ltd.	Chopyeong-myeon, Chungcheongbuk-do	KOREA, REPUBLIC OF
Gold	CID002511 KGHM Polska Miedz Spolka Akcyjna	Lubin, Dolnośląskie	POLAND
Gold	CID003189NH Recytech Company	Pyeongtaek-si, Gyeonggi-do	KOREA, REPUBLIC OF
Tin	CID003356 Dongguan CiEXPO Environmental Engineering Co., Ltd.	Dongguan, Guangdong	CHINA
Tin	CID000555 Gejiu Zili Mining And Metallurgy Co., Ltd.	Gejiu, Yunnan	CHINA
Tin	CID003379 Ma'anshan Weitai Tin Co., Ltd.		CHINA
Tin	CID001406 PT Babel Surya Alam Lestari	Badau, Badau	INDONESIA
Tin	CID003380 PT Masbro Alam Stania	Sungailiat, Bangka Belitung	INDONESIA
Tin	CID003381 PT Rajawali Rimba Perkasa	Tukak Sadai, Bangka Belitung	INDONESIA
Tin	CID001486 PT Timah Nusantara	Tempilang, Kepulauan Bangka Belitung	INDONESIA
Tin	CID002478 PT Tirus Putra Mandiri	Bogor, West Java	INDONESIA
Tin	CID002834 Thai Nguyen Mining and Metallurgy Co., Ltd.	Thai Nguyen, Thai Nguyen	VIET NAM
Tin	CID003325 Tin Technology & Refining	West Chester, Pennsylvania	UNITED STATES OF AMERICA
Tin	CID003397 Yunnan Yunfan Non-ferrous Metals Co., Ltd.	Gejiu, Yunnan	CHINA
Tungsten	CID003388 KGETS CO., LTD.	Siheung-si, Gyeonggi-do	KOREA, REPUBLIC OF
